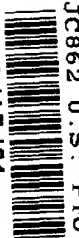


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FORM PTO-1082

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Express Mail Label No. EL 894 838 027 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hideo SHINOZAWA; Yoshio YAMAMOTO; Toshiyuki NONOMURA; and Kazuo
FUKUOKA

Serial No: Not assigned

Filed: November 15, 2001

For: SEMICONDUCTOR LASER, METHOD FOR FABRICATING THEREOF,
AND METHOD FOR MOUNTING THEREOFBox PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

- ☒ 10 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
☒ 24 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
☒ An assignment of the invention to KABUSHIKI KAISHA TOSHIBA ☒ is enclosed ☐ will follow.
☐ An associate power of attorney ☐ is enclosed ☐ will follow.
☐ Applicant claims small entity status. See 37 CFR 1.27.
☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
☒ A certified copy of Japanese Patent Application No. 2000-364491 filed November 30, 2000 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
☒ IDS enclosed (☒ with 3 reference(s)).
☐ Preliminary Amendment is enclosed.
☐ Application data sheet is enclosed. See 37 CFR 1.76.
☒ Return postcard is enclosed.

CALCULATION OF FEES

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FE E	10	-20	0	LG=\$18 SM=\$9	\$18	0	
B	INDEPENDENT CLAIMS FEE*	5	-3	2	LG=\$84 SM=\$42	\$84	168	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							168
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 908
G	ASSIGNMENT RECORDING FEE							\$ 40
	*LIST INDEPENDENT CLAIMS 1, 2, 3, 9, and 10.							

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
☒ A check in the amount of \$ 908.00 to cover the filing fee is enclosed.
☒ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
☒ Any additional filing fees required under 37 C.F.R. 1.16
☒ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,
HOGAN & HARTSON L.L.P.

By:

Lawrence J. McClure
Registration No. 44,228
Attorney for Applicant(s)

Date: November 15, 2001

500 South Grand Avenue, Suite 1900
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Facsimile: (213) 337-6701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hideo SHINOZAWA et al.

Serial No: Not assigned

Filed: November 15, 2001

For: SEMICONDUCTOR LASER, METHOD FOR
FABRICATING THEREOF, AND METHOD FOR
MOUNTING THEREOF

Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 894 838 027 US

Date of Deposit: November 15, 2001

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 908.00 as filing fee
- ☒ patent application (24 page(s) of specification; 10 claim(s); 1 page(s) of abstract
- ☒ 10 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to KABUSHIKI KAISHA TOSHIBA
- ☒ certified copy of Japanese patent application No. 2000-364491 which was filed November 30, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ Information Disclosure Statement with 3 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: November 15, 2001

Hogan & Hartson, LLP
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Los Angeles, California 90071
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Facsimile: 213-337-6701

Emily Cruz

Name of person mailing papers


Signature